

Please mail or fax the registration and your detailed CV with your professional experience and background to:

Frankfurt School of Finance & Management gGmbH | Training Management

Address: P.O. Box 100341, 60003 Frankfurt am Main | Telefax: 069 154008-4184 | Email: k.katzmann@fs.de

REGISTRATION

Certificate Course European Certified Compliance Professional - ECCP

Participant

Mr. Ms.

.....

Title

Family Name, First Name

Date and Place of Birth

Street / P.O. Box

City, State

Telephone (private)

Fax (private)

E-Mail (private)

Employer

.....

Organisation

Job Title

Department

Street / P.O. Box

City, State

Telephone (work)

Fax (work)

E-Mail (work)

Send Correspondence to

Private Employer

Send Materials to

Private Employer

Send Bill to

Private Employer

Acknowledgement of receipt of the registration will be sent to the correspondence address you have indicated.

With this registration, I confirm that I have read and understood the **General Conditions of the Frankfurt School of Finance & Management** gemeinnützige GmbH as well as the **Special Conditions of the specific seminar or certification course** I have chosen. The information given on this form will be collected and processed only for the purpose of your participation. It will not be passed on to a third party. You will shortly receive an automatically generated email that will allow you to review our data protection settings and to update them if necessary.

Place, Signature, Date

Right of cancellation for consumers

(A consumer is a natural person who concludes a legal transaction for purposes that are, for the most part, neither commercial nor for independent professional activity.)

Cancellation Policy

Right of Cancellation: You have the right to cancel this contract without giving any reason within the first 14 days. The cancellation period lasts 14 days from the date the contract is concluded. In order to utilize your right of cancellation, you must send the Frankfurt School (Frankfurt School of Finance & Management gGmbH, Adickesallee 34, 60322 Frankfurt, Telephone 069/154008-238, Fax: 069/154008-399, Email Address: seminare@fs.de) a clear declaration (for example a letter sent by mail, faxed or emailed) informing us that you have made a decision to cancel the contract. You may use the attached cancellation form; however, the use of the form is not required. To be considered within the cancellation period, it is sufficient if you send the declaration of utilizing the right to cancellation before the cancellation period is over.

Consequences of Cancellation: When you cancel the contract, we will refund the money that you have already paid to us including the delivery costs (with exception for additional costs that occur if you chose another type of delivery than the one we have offered, the cheapest standard delivery) without delay and within 14 days from the day we receive your declaration of cancellation. For the refund, we will utilize the same payment method with which it was originally paid unless we come to another agreement with you. In no event will you be paid any sort of remuneration due to this refund. If you demanded that the services begin during the cancellation period, you will owe us the amount that correlates to the part of the services we have delivered before the point in time when you notified us as to the cancellation of the contract in question and compared to the total planned services in the contract.

Place, Signature, Date

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DATES

Certificate Course European Certified Compliance Professional - ECCP

The certification is dependent upon the attendance of all modules as well as the passed examination.

Total fee: 11,900 Euro. This includes the registration (150 Euro) and (400 Euro/exam).

These amounts are exempt from VAT.

Select your preferred date (please mark with a cross)

Program Start Date: 10.09.2018

SPECIAL CONDITIONS

Certificate Course European Certified Compliance Professional - ECCP (Frankfurt School)

These special conditions apply to the Certificate Course "European Certified Compliance Professional (Frankfurt School)". In addition to these conditions, the general conditions for all courses of studies, Certificate Course of studies and seminars of the Frankfurt School are applicable.

1 Admission

(1) Admission to the Certificate Course of studies, "European Certified Compliance Professional", of the Frankfurt School of Finance & Management gemeinnützige GmbH (hereinafter referred to as the "Frankfurt School"), is open to applicants who

- a) have completed vocational training and who, as at the date of commencement of the course, can provide proof of a minimum of three years management experience; or
- b) have successfully completed a course of studies at an advanced college and who, as at the date of commencement of the course, can provide proof of a minimum of three years management experience.

(2) Admission is at the discretion of the Frankfurt School and will be assessed on the basis of the personal and professional skills of the applicant. Applicants have no right to admission.

(3) The duration of the course of study is around 8-10 months.

2 Study Materials/Virtual Campus

(1) For access to and the use of the online teaching material, students will receive an access code to the online learning area of the Frankfurt School of Finance & Management.

(2) The system requirements for the use of the online learning content are available at the Frankfurt School Training Management.

(3) The Frankfurt School along with their trainers, tutors, mentors etc (subcontractors or vicarious agents) is not liable for damages to students that occur in association with the virtual campus except in cases of intent or gross negligence. There will especially be no liability taken for the accuracy of content on the virtual campus published on discussion boards, opinions, and case studies. The virtual campus serves the didactic supplementation of the courses on offer as a discussion forum for the exchange of ideas between all individuals participating in the course of study. The Frankfurt School does not espouse the posted contributions. The contributions in the virtual campus do not constitute, therefore, a consultation service with characteristics of liability from the Frankfurt School or their subcontractors or vicarious agents.

3 Examination

(1) The students will pass online self-assessments, written exams and a final oral examination. The examination elements are aggregated to give the overall result. Students who pass all parts of the exam are awarded the Certificate, "European Certified Compliance Professional (Frankfurt School)".

(2) The exam modalities are regulated by the examination rules valid at the beginning of the course of study and the general conditions for examination regulation at the Frankfurt School. These can be seen from the Frankfurt School Training Management. The examination rules valid at the beginning of the course of study will be valid for its entire duration.

(3) The timely payment of the tuition fees is a precondition for admittance to the exam. The Frankfurt School is, therefore, not required to admit students to this exam when the students are in arrears of any payments due.

(3) The Frankfurt School shall have the sole right to examine and to interpret the examination; correctors and examiners or examination committee have an appropriate margin of discretion.

4 Changes / Cancellation of the Certificate Studies

4.1 The Frankfurt School retains the right to switch trainers or make program changes as long as the overarching character of the program is maintained. The Frankfurt School further retains the right to change the starting time and / or announced location (within the same city) of learning events due to organizational reasons. In any case, the Frankfurt School will notify students of the necessary changes as quickly as possible.

4.2 The Frankfurt School also retains the right to cancel courses of study when the minimum number of participants (10) is not met (at the latest, 2 weeks before the beginning). In the case of cancellation, students will be promptly informed and the Frankfurt School will do its best to offer the students alternative replacement dates.

4.3 Trainer changes, insignificant changes to the event procedure, or a reasonable change in event location do not entitle participants to a decrease in price or to cancellation of the contract. For cases of significant course content being left out, the study fees will be decreased proportionally. For the cancellation of a course of study, as in Section 2, the Frankfurt School will promptly refund the paid study fees. Further entitlements are out of the question except in cases of gross negligence or willful misconduct by employees or other vicarious agents of the Frankfurt School.

5 Price

5.1 The respective applicable total tuition fee for the course of study, including registration, potential study materials, and completing the examination, is listed in the information brochure and on the registration form.

5.2 Repeating the examination will cost an additional 400 Euro and will be charged separately.

5.3 The student is responsible for all costs related to means of communication, especially internet access and usage.

5.4 Unless otherwise specified on the registration form, the total tuition fees are due when the registration is approved and should be paid within 2 weeks after the invoice has been issued. At the latest, they must be paid before the course of study begins.

6 Termination Provisions

(1) The contract for a course of studies can be terminated by the student by notice given not later than four weeks before the commencement of the course of studies.

(2) Notice of termination must be given in writing. At the request of the student, the Frankfurt School will acknowledge receipt of the notice of termination.

(3) In case of termination four to two weeks before the start of the program, 30% of the total price must be paid. In case of a later cancellation the full fee of the course has to be paid.

7 Miscellaneous Provisions

(1) In the event of a change of course, e.g. repeat, the conditions and the examination rules of the new course will apply.

(2) The student agrees to the Deutsche Post AG informing the Frankfurt School of the current applicable address should it no longer be possible to make postal delivery to the hitherto known address (Postal Service Data Protection Order, Section 5).

GENERAL CONDITIONS

for all Degree Programmes, Certification Courses of Study, Seminars

1 Application

1.1 These general conditions apply to the entire business relationship between the student or attendee of a seminar (hereinafter referred to as the "student") and the Frankfurt School of Finance & Management gemeinnützige GmbH (hereinafter referred to as the "Frankfurt School") on the conclusion of a contract in relation to a degree programme, a seminar or a certification course of study (hereinafter referred to as the "course of study"). References to the masculine gender apply equally to the feminine gender.

1.2 In addition, the special conditions which deviate from or supplement these general conditions are applicable to individual business transactions; they will be stipulated on the conclusion of the contract with the student. To the extent that the special conditions provide, or to the extent otherwise agreed, they shall have priority.

1.3 Please note that in case of legal dispute only the official German version of this General Terms and Conditions is legally binding.

2 The Student's Duty to Co-operate

2.1 The student shall punctually provide the Frankfurt School with all information and documentation necessary for the provision of the course of studies. In particular, it is necessary for the orderly conduct of business that the student immediately informs the Frankfurt School of any change to their name or contact details.

2.2 The student must satisfy himself as to the accuracy and completeness of all certificates and other communications from the Frankfurt School and notify the Frankfurt School of any discrepancies without delay.

3 Email Address

3.1 Unless otherwise agreed, it is necessary for the orderly and timely completion of all formalities associated with the course of studies for the student to provide the Frankfurt School with an email address and check his email regularly. Students will usually be notified of, e.g. timetables, changes, study instructions and important information (for example, exam results.) by email.

3.2 Email communication may be unencrypted.

3.3 It is the responsibility of the student to ensure that his email address is protected from unauthorized access by third parties.

4 Study Material

4.1 The study material provided by the Frankfurt School is intended as assistance to the student. It in no way relieves the student of the obligation to conduct his own research, to participate in attendance modules and to keep abreast of current developments in the subject area. In particular, the study material cannot completely cover all possible exam topics.

4.2 The student must acquire additional study material (e.g. legislation, more detailed literature and study aids) at his own expense.

4.3 The Frankfurt School reserves the right to amend or replace and, in particular, to regularly revise the study material provided.

5 Data Protection

The Frankfurt School complies with the statutory provisions relating to data protection. It stores data relating to the student in connection with the execution of this contract in accordance with the Federal Data Protection Law (BDSG), s. 28.

6 Rights in the Study Material

6.1 The study material, in electronic or in printed form, is provided to the student solely for the purposes of the course of studies and for personal use.

6.2 Unless otherwise agreed or indicated, all rights are retained by the Frankfurt School.

6.3 The student shall not make Frankfurt School study material, material provided through the virtual campus, or any other media available to third parties, disseminate it by any other means or infringe the copyright therein. Reproduction is permitted for the purpose of personal study only.

7 Liability

7.1 The Frankfurt School is liable in the event of gross negligence or intent, for personal injury, death or injury to health, and, in the event of compulsory statutory liability, in accordance with the statutory provisions concerned.

7.2 The liability of the Frankfurt School for minor negligence shall exclude property damage and economic loss, except in the case of a breach of a term of the contract necessary for the orderly execution of the contract and on the performance of which the student regularly relies, thereby endangering the fulfillment of the contract's purpose (hereinafter referred to as a "cardinal obligation"). The liability for the breach of such a cardinal obligation is in turn limited to the foreseeable damage typical to this type of contract. This applies to lost profits and unrealized savings as well.

7.3 Any deviation in special conditions applicable to an individual business transaction or otherwise agreed upon, shall have priority.

8 Business Disruption

The Frankfurt School is not responsible for damage which is caused by force majeure, civil commotion, war or natural catastrophe, or other reasons beyond its control (for example, strike, lock-out, interruption of operations, domestic or foreign State intervention).

9 Liability if the Student is at Fault

In the event of loss due, or in part due, to the fault of the student (for example, a breach of the duty to co-operate provided for in clause 2. of these business conditions), the extent to which the loss shall be borne by the Frankfurt School and the student shall be governed by the principles of contributory negligence.

10 Termination

10.1 Unless otherwise provided in the special conditions for the particular courses of study, the contract for a course of study can be terminated by the student by notice given not later than four weeks before the commencement of the course of study.

10.2 Notice of termination must be given in writing. At the request of the student, the Frankfurt School will acknowledge receipt of the notice of termination.

10.3 The right of the Frankfurt School and the student to extraordinary notice of cancellation of the contract with good cause remains unaffected. The Frankfurt School has the right to terminate the contract without notice, in particular, if a) the student is in arrears of payment of the invoiced costs of the course of study and, notwithstanding a written deadline, and a threat of a possible termination by the Frankfurt School, fails to pay within the period of four weeks, or b) notwithstanding a written warning and deadline, the conduct of the student significantly disrupts the orderly teaching or the running of the course of study or the trustful co-operation between student colleagues, trainers or the Frankfurt School or its staff. The German Civil Code (BGB), s. 323(2) shall apply mutatis mutandis.

11 Trainers, Dates and Place of Study

11.1 The Frankfurt School determines the trainers and the course programme and/or exam programme at its own reasonable discretion.

11.2 The Frankfurt School determines the event calendar and the examination dates.

11.3 Courses normally take place at the indicated place of study. The Frankfurt School is entitled to transfer individual courses or the courses for individual subject areas to another location within reasonable distance for academic reasons and accommodation requirements.

11.4 The participant is not entitled to the reimbursement of additional expenses incurred.

12 Payment and Late Payment

12.1 The invoice is deemed to have been approved by the student six weeks from delivery to the student unless it is queried with the Frankfurt School within this period. On the invoice itself, attention is specifically drawn by the Frankfurt School to the right to make a challenge within this six-week period.

12.2 The student shall pay the Frankfurt School the amount stipulated in the special conditions.

12.3 Failure to commence or continue the course of studies shall not excuse payment by the student unless the Frankfurt School has caused the failure by conduct that constitutes a breach of contract.

12.4 Timely payment of the invoiced charges for the course of study is necessary for the admission of the student to the course of study. Hence, the Frankfurt School is not obliged to admit the student to the course of study if the student is in arrears with the payment of at least 50% of the invoiced charges.

13 Amendments

13.1 The student will be notified in writing of any amendments to these General Conditions or Special Conditions.

13.2 If the student reached an agreement with the Frankfurt School on the use of electronic communication in their business relationship (e.g. intranet, virtual campus), the amendments can be communicated by these means, if the means of communication permits the student to store or print the amendments in a legible form.

13.3 Amendments to these conditions are deemed to be agreed upon if the student does not object in writing or by the agreed electronic means of communication. Reference to this consequence will be made by the Frankfurt School in the notification. The student must forward their objection to the Frankfurt School within six weeks of notification of the amendments.

14 Written Form

14.1 There are no side agreements. Side agreements must be in writing.

14.2 Amendments, supplements and the cancellation of this contract must be in writing to be effective. This also applies to the amendment of this clause.

15 Application of German Law

German law is applicable to the business relationship between the student and the Frankfurt School.

16 Place of Performance

The place of performance of the Frankfurt School's educational obligation is the location chosen by the Frankfurt School.

17 Jurisdiction

If the student is a merchant, a corporate body under public law, or a public law special property the courts of the seat of the Frankfurt School shall have exclusive jurisdiction in all disputes arising from the contractual relationship between the student and the Frankfurt School.

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EXAMPLE CANCELLATION FORM

(When you wish to cancel the contract, please fill out this form and send it back to the Frankfurt School.)

I/we hereby cancel the contract for the provision of the following services:

Booked on (*)/Received on (*)

First Name / Family Name of the Consumer(s)

Address of the Consumer(s)

Signature of the Consumer(s) (only when sent as hardcopy), Date